

PLEDGE REQUEST FORM (PRF)

Setup of Pledge Confirmation of Pledge

(Please fill all the details in **Block Letters** in English)

PRF No.		Date (dd/mm/yyyy)							
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I/We request you to create the pledge / confirm the creation of pledge for the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges.

Pledgor's Details

DP ID								Client ID					
Pledgor's Name	1.												
	2.												
	3.												

Pledgee's Details

DP ID								Client ID					
Pledgee's Name	1.												
	2.												
	3.												

Details of Securities	<input type="checkbox"/> Free Securities	<input type="checkbox"/> Locked-in Securities	
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Details of Securities offered for Pledge.

Sr. No.	ISIN	Company Name	Quantity	Date of Release (lock-in)	PSN (System Generated)	Accepted / Rejected by Pledgee	Pledged Value
1.							
2.							
3.							

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

Pledge Execution Date	D	D	M	M	Y	Y	Y	Y
Pledge Expiry Date	D	D	M	M	Y	Y	Y	Y
Total Pledge Value (Rs.)								
Agreement No.								
Date of Pledging	D	D	M	M	Y	Y	Y	Y

Pledge Reason [tick mark whichever is applicable]	<input type="checkbox"/> Collateral - Debt I issuance by Co./Grp Co.	<input type="checkbox"/> Collateral for loan by Company/Group Co.	<input type="checkbox"/> Collateral for loan by the Third Party	<input type="checkbox"/> Margin Pledge/MTF for Exchange Trade	<input type="checkbox"/> Personal use by promoters and PACs
PAN of the Ultimate Lender:					

Note: As a pledgor and pledgee, We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities

To be filled and signed in case of Set-up of Pledge by Pledgor BO

First / Sole Applicant	Second Applicant	Third Applicant

Date of Rejection of Pledge	D	D	M	M	Y	Y	Y	Y
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Pledge Rejection Details	Pledge Rejection by Pledgor DP	Pledge Rejection by Pledgee DP
Pledge Reason [tick mark whichever is applicable]	<input type="checkbox"/> 01 Units not available for Amount requested. <input type="checkbox"/> 02 Closure Date not accepted. <input type="checkbox"/> 03 Pledged Quantity not accepted. <input type="checkbox"/> 04 ISIN not accepted. <input type="checkbox"/> 05 Security details not acceptable. <input type="checkbox"/> 06 ISIN delisted from Trading. <input type="checkbox"/> 07 POA not received from all holders. <input type="checkbox"/> 08 Holders not acceptable to the pledgee. <input type="checkbox"/> 09 Agreement no. differs from that on the agreement. <input type="checkbox"/> 10 Others. <input type="checkbox"/> 11 Transfer Quantity not accepted. <input type="checkbox"/> 12 Violation of terms of agreement. <input type="checkbox"/> 13 Closure Quantity not accepted. <input type="checkbox"/> 14 Pledged amount/margin not paid. <input type="checkbox"/> 15 Market value of the pledged ISIN is insufficient.	<input type="checkbox"/> 01 Units not available for Amount requested. <input type="checkbox"/> 02 Closure Date not accepted. <input type="checkbox"/> 03 Pledged Quantity not accepted. <input type="checkbox"/> 04 ISIN not accepted. <input type="checkbox"/> 05 Security details not acceptable. <input type="checkbox"/> 06 ISIN delisted from Trading. <input type="checkbox"/> 07 POA not received from all holders. <input type="checkbox"/> 08 Holders not acceptable to the pledgee. <input type="checkbox"/> 09 Agreement no. differs from that on the agreement. <input type="checkbox"/> 10 Others. <input type="checkbox"/> 11 Transfer Quantity not accepted. <input type="checkbox"/> 12 Violation of terms of agreement. <input type="checkbox"/> 13 Closure Quantity not accepted. <input type="checkbox"/> 14 Pledged amount/margin not paid. <input type="checkbox"/> 15 Market value of the pledged ISIN is insufficient.

To be filled and signed in case of Set-up of Pledge by Pledgor BO

First / Sole Applicant	Second Applicant	Third Applicant

To be filled and signed in case of acceptance of Pledge by Pledgee BO

As a pledgee, I/We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.

Signature of Pledgee(s)*

First / Sole Holder	Second Holder	Third Holder

* If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.